**2020 ACTIONS TAKEN CHART**

Updated 5-7-2020

**Color Key**:

Adopted/Consent Adopted

Withdrawn at Virtual House

Rejected/Consent Rejected

Referred

No Action Taken

**\*\* Status: C=Completed; U=Underway**

| **TITLE** | **HOUSE ACTION** | **VOTE REQUIRED** | **BOARD ACTION/**  **ASSIGNMENT** | **REPORT DUE DATE** | **STATUS** |
| --- | --- | --- | --- | --- | --- |
| **01-20 BT Budget FY 20-21**  RESOLVED, that the fiscal year 2020-2021 budget be approved. | Adopted | Majority | N/A | N/A |  |
| **02-20 BT – Dues**  RESOLVED, that the dues for US Active Members shall be $793.00. | Accepted Without Objection | Majority | N/A | N/A |  |
| **03-20 COC (S4-MASO/PCSO/MSO) Substitute for CAP Budget** | Consent Rejected | 2/3 | N/A | N/A |  |
| **03-20 COC (S5-BT) Substitute for CAP Budget**  RESOLVED, that the Consumer Awareness Program budget be funded to $5 million ~~per year~~for FY2020-21~~, 2021-22, and 2022-23~~,through a $300 ~~$600~~ per member assessment for all U.S. and Canadian active members (active academic members excluded) and remainder from excess liquid reserves, when available, and be it further  RESOLVED, that the Consumer Awareness Program budget be funded to $5 million per year for FY2021-22, and 2022-23, through a $600 per member assessment for all U.S. and Canadian active members (active academic members excluded) and remainder from excess liquid reserves, when available.  Underline – BOT addition  Strikethrough – BOT deletion | Consent Adopted | 2/3 | N/A | N/A |  |
| **04-20 BT Bylaws Amendment Article VI - Board of Trustees, A. Composition, C. Nomination and Election and D. Term of Office** | No action taken.  See 04-20 RC | 2/3 | N/A | N/A | N/A |
| **04-20 BT (S3-NESO) Substitute for Bylaws Amendment Article VI - Board of Trustees, A. Composition, C. Nomination and Election and D. Term of Office** | Withdrawn | 2/3 | N/A | N/A | N/A |
| **04-20 BT (S4-MASO/PCSO) Substitute for Bylaws Amendment Article VI - Board of Trustees, A. Composition, C. Nomination and Election and D. Term of Office** | No action taken.  See 04-20 RC | 2/3 | N/A | N/A | N/A |
| **04-20 RC Substitute for Bylaws Amendment Article VI - Board of Trustees, A. Composition, C. Nomination and Election and D. Term of Office**  RESOLVED, that the AAO *Bylaws,* Article VI – Board of Trustees, A. Composition, C. Nomination and Election and D. Term of Office be amended as follows:  **ARTICLE VI — BOARD OF TRUSTEES**  A. COMPOSITION:    The Board of Trustees shall consist of one (1) Trustee from each of the eight (8) constituent organizations, and up to three (3) at-large Trustees, selected based on demographic diversity or expertise, the President-Elect, the President, the Speaker of the House, and the Editor-in-Chief. The Editor-in-Chief and the Speaker are ex-officio members without the right to vote. The ~~eight~~ Trustees and the President-Elect shall constitute the voting membership of the Board, except that the President may vote in the event of a tie.  B. QUALIFICATIONS:  A Trustee shall be an active member of this Association and a voting member of the constituent organization he/she is elected to represent. Should the status of any Trustee change in regard to the preceding qualifications during his/her term of office, that office shall be declared vacant and such vacancy shall be filled as hereinafter provided.  An at-large Trustee shall be an active member of this Association who has not been a previous constituent elected Trustee.  As a condition precedent to nomination to the Board, the nominee shall complete the Association’s conflict of interest disclosure form.    C. NOMINATION AND ELECTION:    A Trustee (with the exception of an at-large Trustee) shall be nominated and elected by the constituent organization in accordance with the procedures of such constituent organization. The Secretary of such constituent organization shall promptly report the election of such Trustee to the Secretary-Treasurer of this Association. At-large Trustees shall be nominated and elected by the Board of Trustees.  D. TERM OF OFFICE:    The term of office of a Trustee elected by the constituent organization shall be two (2) years. The consecutive tenure of a Trustee elected by the constituent organization shall be limited to eight (8) years. The term of office of an at-large Trustee shall be one term of up to three (3) years at the discretion of the Board. Having served as an at-large Trustee does not preclude the individual from serving in the future as a Trustee from one of the constituent organizations defined by territorial jurisdiction.  Single Underline – BT addition  Single Strikethrough – BT deletion  Double Underline - MASO addition  Double Strikethrough – PCSO deletion  Bold Underline – PCSO addition  Dashed Underline – RC addition | Adopted | 2/3 | Lisa Chandler to change policy. | N/A |  |
| **05-20 BT Bylaws Amendment Article VI - Board of Trustees, G. Powers and Duties, 1. Powers, g.** | Consent Rejected | 2/3 | N/A | N/A | N/A |
| **05-20 BT (SA1-PCSO) Substitute for Bylaws Amendment Article VI - Board of Trustees, G. Powers and Duties, 1. Powers, g.**  RESOLVED, that the AAO *Bylaws,* Article VI – Board of Trustees, G. Powers and Duties, 1. Powers, g, be amended as follows: ARTICLE VI — BOARD OF TRUSTEES G. POWERS AND DUTIES:  1. POWERS: The Board of Trustees shall be the managing body of the Association, vested with full power to conduct all business of the Association, subject to the laws of the state of Missouri, the *Articles of Incorporation*, the *Bylaws*, and the mandates of the House of Delegates. The Board of Trustees shall have the power to:  g. Appoint consultants, advisors, and at-large members whenever necessary.  h. Appoint a member from CONYM or NYM a new and younger member as an Advisor with full voting rights on councils/committees.  Underline – BOT addition  Double Underline – PCSO addition  Double Strikethrough – PCSO deletion  Bold Underline – BOT Editorial addition | Consent Adopted | 2/3 | Lisa Chandler to change policy | N/A |  |
| **06-20 BT Amendments to the Council and Committee Manual – CONYM-NYM and At-large Member Advisors** | No action taken.  See 06-20 RC (S1-SAO) | Majority | N/A | N/A | N/A |
| **06-20 RC Substitute for Amendments to the Council and Committee Manual – CONYM-NYM and At-large Member Advisors** | No action taken.  See 06-20 RC (S1-SAO) | Majority | N/A | N/A | N/A |
| **06-20 RC (S1-SAO) Substitute for Amendments to the Council and Committee Manual – CONYM-NYM and At-large Member Advisors**  RESOLVED, that the Council and Committee Manual be amended as shown in Attachment A to allow CONYM or NYM and At-large Member Advisors full voting privileges on the council/committees to which he/she is appointed. The term of office of At-large Member  Advisors shall be at the discretion of the Board, not to exceed six (6) consecutive years. At no time should service on a Council exceed eight (8) consecutive years.  Underline – BOT addition  Strikethrough – BOT deletion  Double Underline – RC addition  Bold underline – SAO addition | Adopted | Majority | Lisa Chandler to change policy | N/A |  |
| **07-20 VTTF Voting Thresholds and Notice Requirements Chart** | No action taken.  See 07-20 VTTF (S1-NESO) | Majority | N/A | N/A | N/A |
| **07-20 VTTF (S1-NESO) Substitute for Voting Thresholds and Notice Requirements Chart**  RESOLVED, that the House of Delegates of the American Association of Orthodontists adopt these revised voting thresholds and notice requirements, and be it further  RESOLVED, that the majority vote to introduce a change to the assessment during the second session of the House be changed from ¾ vote to 2/3 vote to adopt. See the edited attached Green Sheet, and be it further  RESOLVED, that any voting threshold or notice requirement contained in any AAO policy or other document adopted or issued prior to May 1, 2020, is hereby amended to conform to the voting thresholds and notice requirements contained in the attached Green Sheet.  Underline – NESO Addition | Adopted | Majority | Lisa Chandler to change policy | N/A |  |
| **08-20 BT Bylaws Amendment Article IV - Constituent Organizations**  RESOLVED, that the AAO *Bylaws*, Article IV – Constituent Organizations, be amended as follows:  **ARTICLE IV – CONSTITUENT ORGANIZATIONS**  G. MERGERS  Merging constituents will not lose the pre-merger constituent’s territorial jurisdiction, powers, duties, rights, or representation under the American Association of Orthodontists’ (AAO’s) *Bylaws*, procedures, or policies until said mergers are formally recognized by both the merged entity and the AAO. Until such formal recognition of a merger, each merging constituent shall retain the applicable powers, duties, rights, and representation under the AAO’s *Bylaws*, procedures, and policies as though no merger is taking place. These reservation of rights and representation involve, but are not limited to, AAO councils, committees, directors, delegates, alternate delegates, officers, the Board of Trustees, the AAO’s Political Action Committee, the American Board of Orthodontics, and the American Association of Orthodontists Foundation. Until such formal recognition of a merger, the AAO *Bylaws*, procedures, and policies will apply as though the merged constituents remain distinct and separate constituents.  Underline – BOT addition  Double Underline – Speaker addition | Consent Adopted | 2/3 | Lisa Chandler to change policy | N/A |  |
| **09-20 COMEJC Bylaws Amendment – Article III, Membership, 5. Retired Members, Eligibility**  RESOLVED, that the AAO *Bylaws* Article III, Membership, Section 5 be amended as follows:  **ARTICLE III – MEMBERSHIP**  5. Retired Members: To be a retired member of this Association, a member shall meet the following requisites:  a. Status: Have retired from the active practice of orthodontics.  b. Eligibility: Have been an active, service or international member of this Association for fifteen (15) ~~ten (10)~~ cumulative years prior to retirement, a member in good standing at the time of retirement and shall have requested reclassification by this Association.  c. Reclassification: The Secretary-Treasurer of this Association shall reclassify such members upon verification of their eligibility and shall notify such members’ constituent organizations of all such actions. Such reclassification shall be effective on August 1 of the calendar year in which the request is made.  d. Life Membership Status: Those members who have been designated life-active members shall be designated life-retired members upon retirement.  Underline - Addition  Strikethrough - Deletion | Consent Adopted | 2/3 | Lisa Chandler to change policy | N/A |  |
| **10-20 COMEJC Amendment to Policy - 1. Dues, B. 5. Student Members (Financial Policy)**  RESOLVED, that Part 1, Article I, Section B of the Financial Policy of the American Association of Orthodontists be amended as follows:  **I. DUES**  B. Annual dues are  1. Active members: 100% of dues.  a. Life-active members, less than 50 cumulative years of membership: 60% of dues.  b. Life-active members, more than 50 cumulative years of membership: Exempt  c. Active members in first year of membership: 10% of dues.  d. Active members in second year of membership: 25% of dues.  e. Active members in third year of membership: 50% of dues.  f. Active members in fourth year of membership: 75% of dues.  2. Active Academic members: 100% of dues, but Senior Faculty may annually apply for reduction to 50% of dues, and Junior Faculty may annually apply for a reduction to 25% of dues.  a. Active Academic members in first year of membership: 10% of dues.  b. Active Academic members in second year of membership: 25% of dues.  c. Active Academic members in third year of membership: 50% of dues but Junior Faculty may apply for a reduction to 25% of dues.  d. Active Academic members in fourth year of membership: 75% of dues but Senior Faculty may apply for a reduction to 50% of dues and Junior Faculty may apply for a reduction to 25% of dues.  3. Academic (Non-United States/Canada graduates):100% of dues, but Senior Faculty may annually apply for a reduction to 50% of dues, and Junior Faculty may annually apply for a reduction to 25% of dues.  a. Academic (Non-United States/Canada graduates) in first three years of membership: 100% of dues, but Senior Faculty may apply for a reduction to 50% of dues, and Junior Faculty may apply for a reduction to 25% of dues.  4. Service members: $300.00  a. Service members in first three years of membership: $300.00.  5. Student members: $30.00.  a. Students who have been accepted into, but not yet started, an accredited orthodontic residency program: Exempt for the period of December 1 through May 31 immediately following acceptance into an orthodontic residency program.  6. Retired members: Exempt.  7. International members: 50% of dues.  8. International student members: $30.00.  Underline – COMEJC Addition | Consent Adopted | 2/3 | Lisa Chandler to change policy | N/A |  |
| **11-20 RC Substitute for Amendment to Policy - 1. Dues, E. Reinstatement (Financial Policy)** | Rejected.  See 11-20 COMEJC | Majority | N/A | N/A | N/A |
| **11-20 COMEJC Amendment to Policy - 1. Dues, E. Reinstatement (Financial Policy)**  RESOLVED, that Part 1, Article I, Section E of the Financial Policy of the American Association of Orthodontists be amended as follows:  **I. DUES**  E. On December 31 of each year, the Secretary-Treasurer of this Association shall cause to be terminated the membership of those individuals whose dues and/or assessments for the current year have not been received. A terminated member may reinstate membership by paying all dues and assessments by May 31 of the dues year in which the member was terminated with no reinstatement fee and without incurring a lapsed membership year. If dues and assessments are not received by May 31, the membership will lapse.  A member may reinstate after a lapse by paying a reinstatement fee equal to 25% of accumulated unpaid dues and assessments from the most recent lapsed year~~s~~ as well as all of the current year’s dues and assessments, and by complying with the provisions of such individual’s constituent organization. The maximum reinstatement fee shall not exceed 25% of the total of the ~~three (3)~~ most recent year’s~~’~~ dues and assessments.    The reinstatement fee will be waived in the member’s first two instances of lapse. Only dues years, for which the full amount of dues and assessments are paid will be considered membership years, cumulative or otherwise, relative to provisions of AAO Bylaws and Financial Policy.  That staff provides membership data specifically related to reinstatement annually to the Council on Membership, Ethics and Judicial Concerns, with any concerns regarding trends, habitual abuse, or difficulties enforcing the policy. COMEJC will provide a report evaluating the effectiveness of this policy, and recommendations for changes, if needed, to the Board of Trustees prior to its annual meeting in February.  Underline - Addition  Strikethrough – Deletion | Adopted | Majority | Lisa Chandler to change policy | N/A |  |
| **12-20 COMEJC Amendment to Policy - Waivers (Financial Policy)**  RESOLVED, that Part 1, Article III (“Waivers”) of the Financial Policy of the American Association of Orthodontists be amended as follows:  **III. WAIVERS**  A. Members may make application for a waiver of dues and/or assessments for any of the following reasons.  1. Significant financial hardship due to an act of God or other similar event beyond the member’s control.  2. Significant financial hardship due to a debilitating medical condition.  3. The orthodontist has an immediate family member such as a parent, spouse, child or sibling, who requires the orthodontist to be the primary caregiver and the orthodontist significantly reduces his/her practice time.  4. Significant financial hardship due to activation from reserve status to active military duty for the U.S. or Canadian government.  5. A “senior limited practice” waiver of 50% of full active member dues and assessments may be requested by doctors with at least 30 cumulative years of membership (excluding student membership) and practice no more than 350 hours per year.  6. A Humanitarian Service waiver of full dues and assessments may be requested by doctors in full-time humanitarian service. Waivers for humanitarian service are not subject to the three-year limitation on waivers.  B. Applications for waivers shall be made to the Secretary-Treasurer of the Association.  C. A waiver of 50% or 100% of dues and/or assessments may be granted by the Association, provided such member is also exempt from paying the member’s constituent organization’s corresponding dues and assessments, as determined by the Association in its sole discretion.  D. The decision of the Association shall be made by the Board of Trustees and is final and may not be appealed.  E. A waiver may be granted for only the current year’s dues and/or assessments.  F. A waiver may be granted for the same condition for up to three consecutive years and a maximum of three (3) years total for a single event. A “senior limited practice” waiver may only be used a maximum of three (3) years.  G. The three-year maximum may be waived if a member has a permanent disability that prevents the member from practicing, and he/she is not yet eligible for retired status.  H. Only individuals who are members in good standing may apply for dues waivers.  Underline – Addition | Consent Adopted | Majority | Lisa Chandler to change policy | N/A |  |
| **13-20 BT Amendment to Policy-Waivers- Pandemic (Financial Policy)** | Withdrawn | Majority | N/A | N/A | N/A |
| **14-20 MASO Dues Billing Hold**  RESOLVED, that the AAO forgo sending all appropriate membership categories dues bill until August 1, 2020. | Consent Adopted | Majority | Lisa Chandler to change policy | N/A |  |
| **15-20 MSO Amendment to Policy – Waivers (Financial Policy)**  RESOLVED, that Part 1, Article III (“Waivers”) of the Financial Policy of the American Association of Orthodontists be amended as follows:  **III. WAIVERS**  A. Members may make application for a waiver of dues and/or assessments for any of the following reasons.  1. Significant financial hardship due to an act of God or other similar event beyond the member’s control.  2. Significant financial hardship due to a debilitating medical condition.  3. The orthodontist has an immediate family member such as a parent, spouse, child or sibling, who requires the orthodontist to be the primary care-giver and the orthodontist significantly reduces his/her practice time.  4. Significant financial hardship due to activation from reserve status to active military duty for the U.S. or Canadian government.  5. A “senior limited practice” waiver of 50% of full active member dues and assessments may be requested by doctors Active or Life-Active members with at least 30 cumulative years of membership (excluding student membership) and practice no more than 350 hours per year.  6. A Humanitarian Service waiver of full dues and assessments may be requested by doctors in full-time humanitarian service. Waivers for humanitarian service are not subject to the three-year limitation on waivers.  B. Applications for waivers shall be made to the Secretary-Treasurer of the Association.  C. A waiver of 50% or 100% of dues and/or assessments may be granted by the Association, provided such member is also exempt from paying the member’s constituent organization’s corresponding dues and assessments, as determined by the Association in its sole discretion.  D. The decision of the Association shall be made by the Board of Trustees, and is final and may not be appealed.  E. A waiver may be granted for only the current year’s dues and/or assessments.  F. A waiver may be granted for the same condition for up to three consecutive years. A “senior limited practice” waiver may only be used a maximum of three (3) years.  G. The three-year maximum may be waived if a member has a permanent disability that prevents the member from practicing and he/she is not yet eligible for retired status.  Double Underline – MSO Addition  Double Strikethrough – MSO Deletion | Referred to COMEJC for further study and clarification | Majority | COMEJC, Michael Sherman & Brandon Hackworth | December 2020 Board of Trustees Meeting |  |
| **16-20 BT Amendment to Policy-Waivers (Financial Policy)**  RESOLVED, that Part 1, Section III (“Waivers”) of the Financial Policy of the American Association of Orthodontists be amended as follows:  **III. WAIVERS**  A. Members may make application for a waiver of dues and/or assessments for any of the following reasons.  1. Significant financial hardship due to an act of God or other similar event beyond the member’s control.  2. Significant financial hardship due to a debilitating medical condition.  3. The orthodontist has an immediate family member such as a parent, spouse, child or sibling, who requires the orthodontist to be the primary caregiver and the orthodontist significantly reduces his/her practice time.  4. Significant financial hardship due to activation from reserve status to active military duty for the U.S. or Canadian government.  5. A “senior limited practice” waiver of 50% of full active member dues and assessments may be requested by doctors with at least 30 cumulative years of membership (excluding student membership) and practice no more than 350 hours per year.  6. A Humanitarian Service waiver of full dues and assessments may be requested by doctors in full-time humanitarian service. Waivers for humanitarian service are not subject to the three-year limitation on waivers.  7. Waivers for membership dues are to be considered for individual member’s circumstances and may not be granted for events or occurrences that significantly impact the vast majority of the AAO membership.  B. Applications for waivers shall be made to the Secretary-Treasurer of the Association.  C. A waiver of 50% or 100% of dues and/or assessments may be granted by the Association, provided such member is also exempt from paying the member’s constituent organization’s corresponding dues and assessments, as determined by the Association in its sole discretion.  D. The decision of the Association shall be made by the Board of Trustees and is final and may not be appealed.  E. A waiver may be granted for only the current year’s dues and/or assessments.  F. A waiver may be granted for the same condition for up to three consecutive years. A “senior limited practice” waiver may only be used a maximum of three (3) years.  G. The three-year maximum may be waived if a member has a permanent disability that prevents the member from practicing, and he/she is not yet eligible for retired status.  Underline – BOT Addition | Consent Adopted | Majority | Lisa Chandler to change policy | N/A |  |